# Codes, entropies and groups

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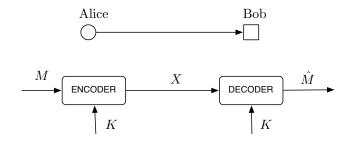
Given random variables X and Y,

Entropy measures the amount of uncertainty in a random variable:

$$H(X) \triangleq -\sum_{x} p(x) \log p(x)$$
$$H(X, Y) \triangleq -\sum_{x, y} p(x, y) \log p(x, y)$$

- $H(X) \ge 0$ . Equality holds iff X is deterministic
- $H(X, Y) H(Y) \ge 0$  (or simply  $H(X|Y) \ge 0$ ). Equality holds iff *X* is a function of *Y*
- $H(X, Y) \le H(X) + H(Y)$ . Equality holds iff *X* and *Y* are independent

# Secure communications (single link)



Secrecy: I(M;X) = 0

Encoding: H(X|M, K) = 0 (i.e., X is a function of M and K)

Decoding: H(M|K,X) = 0 (i.e., M is a function of X and K)

Applying information inequalities, one can prove that

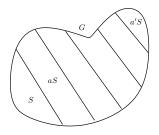
 $H(K) \ge H(M)$ 

# Groups and Inequalities

- A group (*G*, ∘) consists of a set *G* and a binary group operator ∘ such that
  - is *associative* (i.e.,  $(a \circ b) \circ c = a \circ (b \circ c)$ )
  - Existence of *identity* element 1 such that  $1 \circ a = a \circ 1 = a$
  - Existence of *inverse*  $a^{-1}$  such that  $a^{-1} \circ a = a \circ a^{-1} = 1$
- *Example: G* is the set of nonzero real numbers and  $\circ$  is multiplication

# Constructing a random variable from a subgroup

- $\blacksquare$  U random variable, uniform over finite group G.
- *S* subgroup of *G*
- *S* induces a random variable *X* the random left (or right) coset of *S* in *G* containing *U*



• (By Lagrange's Theorem) Pr(x) = |S|/|G|. Then  $H(X) = \log |G|/|S|$ .

# Example

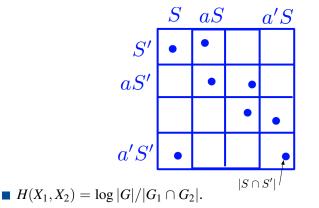
- Let  $G = \{0, 1, 2, 3\}$  and  $G_1 = \{0, 2\}$ . The group operation is the mod 4 addition.
- $G_1$  partitions G into two cosets  $\{0,2\}$  and  $\{1,3\}$ .
- Each coset of size the same as  $G_1$ .
- Let U be a random variable which takes values "uniformly" over G.
- G<sub>1</sub> induces a random variable X<sub>1</sub> such that X<sub>1</sub> takes two "values"

$$X_1 = \begin{cases} \{0, 2\} & \text{if } U = 0 \text{ or } 2\\ \{1, 3\} & \text{if } U = 1 \text{ or } 3. \end{cases}$$

 $\blacksquare H(X_1) = \log 4/2$ 

# Another example - Two variables

Example: Two group induced random variables



Quasi-uniform (i.e., uniform over its supports)

### Theorem (Chan, Yeung 2002)

Let  $\sum_{\alpha \subseteq \mathcal{N}} c_{\alpha} H(X_{\alpha}) \ge 0$  be a valid information inequality. Then for any finite group *G* and its subgroups  $\{G_i, i \in \mathcal{N}\}$ ,

$$\sum_{lpha \subseteq \mathcal{N}} c_{lpha} \log rac{|G|}{|\cap_{i \in lpha} G_i|} \ge 0,$$

or equivalently, 
$$|G|^{\sum_{\alpha \subseteq \mathcal{N}} c_{\alpha}} \ge \prod_{\alpha \subseteq \mathcal{N}} |\cap_{i \in \alpha} G_i|^{c_{\alpha}}$$
.

Proof: Let  $X_i$  be constructed from subgroup  $G_i$ .

Converse also holds !!

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Proof: Let  $X_i$  be constructed from subgroup  $G_i$ .

Converse also holds !!

The non-Shannon inequality

$$\begin{split} H(X_1) + H(X_2) + 2H(X_1, X_2) + 4H(X_3) + 4H(X_4) \\ + 5H(X_1, X_3, X_4) + 5H(X_2, X_3, X_4) \\ &\leq 6H(X_3, X_4) + 4H(X_1, X_3) + 4H(X_1, X_4) \\ &+ 4H(X_2, X_3) + 4H(X_2, X_4), \end{split}$$

implies

$$\begin{split} |G_{34}|^6 |G_{13}|^4 |G_{14}|^4 |G_{23}|^4 |G_{24}|^4 \\ & \leq |G_1| |G_2| |G_3|^4 |G_4|^4 |G_{12}|^2 |G_{134}|^5 |G_{234}|^5. \end{split}$$

Prove:  $I(X_1; X_2) \ge 0$ 

Step 1: Transform into group theoretic inequality:

$$\begin{split} I(X_1; X_2) &\ge 0 \\ \Leftrightarrow H(X_1) + H(X_2) - H(X_1, X_2) &\ge 0 \\ \Leftrightarrow \log |G| / |G_1| + \log |G| / |G_2| - \log |G| / |G_1 \cap G_2| &\ge 0 \\ \Leftrightarrow |G| |G_1 \cap G_2| &\ge |G_1|G_2| \end{split}$$

# Group theoretic proof

Step 2: Proving the group inequality: Let  $G_1 \circ G_2 = \{a \circ b : a \in G_1, b \in G_2\}.$ 

$$\blacksquare |G_1 \circ G_2| \le |G|$$

$$|G_1 \circ G_2| \le |G_1||G_2|$$

■  $|G_1 \cap G_2| < |G_1||G_2|$  if there are duplications:

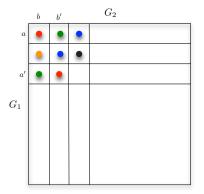
$$a \circ b = (a \circ k) \circ (k^{-1} \circ b)$$

where  $k \in G_1 \cap G_2$ 

Hence,

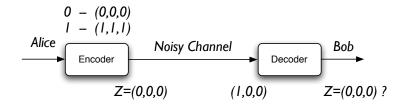
 $|G_1 \circ G_2| = |G_1||G_2| / |G_1 \cap G_2|$ 

As a result,  $|G| \ge |G_1||G_2|/|G_1 \cap G_2|$ 



# Codes and Random Variables

 (Error control) coding is a technique to protect transmitted data against errors



Codebook size vs. Error correcting capability

Error probability = Pr(more than one symbol error)

Code – a set of random variables (Z<sub>1</sub>,..., Z<sub>n</sub>)
 Z<sub>i</sub> – the *i*<sup>th</sup> codeword symbol.

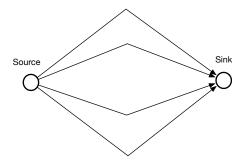
Let  $C \subseteq \prod_{i=1}^{n} Z_i$  be a code. It induces *n* random variables  $(Z_1, \ldots, Z_n)$  such that

$$\Pr(z_1,\ldots,z_n) = \begin{cases} 1/|\mathcal{C}| & \text{if } (z_1,\ldots,z_n) \in \mathcal{C} \\ 0 & \text{otherwise.} \end{cases}$$

- $Z_1, \ldots, Z_n$  are called the *codeword symbol random* variables induced by the code *C*.
- Use language involved random variables
- Can consider a larger class of codes (where the underlying distribution is arbitrarily)

# Tamper-proof transmission

- Transmitter and receiver connected via n parallel links
- Adversary obstruct data transmission
  - Replacing the messages transmitted on the attacked links with any other messages.
  - Message transmitted on untampered link received without error.
- The same concept as in classical error correcting codes



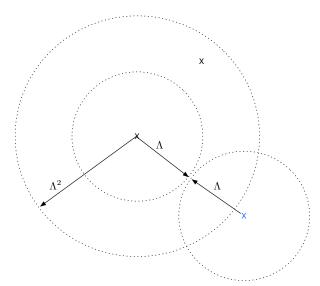
Find the highest rate code that resilient to attacks:

- Adversary's *tampering pattern*  $\Lambda$  the possible link subsets that an adversary can attack.
- If the adversary can attack up to any *t* links, then Λ contains all subsets of sizes up to *t*.

**Codebook size -**  $H(Z_1, \ldots, Z_n)$ 

# **Tamper-proof transmission**

Code is resilient if  $H(Z_1, \ldots, Z_n | Z_i, i \in \alpha^c) = 0$  for all  $\alpha \subseteq \Lambda^2$ where  $\Lambda^2 \triangleq \{ \mathcal{B} \cup \mathcal{C} : \mathcal{B}, \mathcal{C} \in \Lambda \}.$ 



- **D**ata encoded into *n* pieces  $Z_1, \ldots, Z_n$ ,
- each stored in a data centre (DC)
- In case of data centre failures, the stored data can be restored from other DC
- $\blacksquare$   $\Xi$  failure pattern,
- Design a storage code such that data can be restored if a set A ∈ Ξ of data centres fail.

Find the most efficient storage code (resilient to failures)

• Code size 
$$-H(Z_1,\ldots,Z_n)$$

Robustness if

$$H(Z_1,\ldots,Z_n|Z_j,j\in\alpha^c)=0$$

for all  $\alpha \in \Xi$ 

Extension to subset recovery

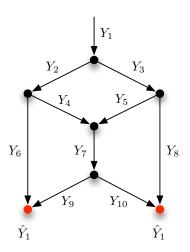
# **Network Coding**

- Network code specified by a set of random variables
- Source variables
- Link variables
- Topological constraint:

 $H(Y_7|Y_4,Y_5)=0$ 

Decoding constraint:

 $H(Y_1|Y_6, Y_9) = 0$ 



- Dealer share a secret with n 1 participants, indexed by the set  $\{2, \ldots, n\}$ . (Dealer is player 1)
- only specified legitimate groups of participants can reconstruct the secret data
- $\Omega$  *access structure*, only participants indexed by  $\mathcal{A} \in \Omega$  can access the secret.
- A secret sharing scheme is a random vector  $(Z_1, \ldots, Z_n)$  such that
  - **1**  $Z_1$  is the secret;
  - **2**  $Z_j$  is the share held by participant *j*;
  - 3  $H(Z_1|Z_j, j \in \mathcal{A}) = 0$  if  $\mathcal{A} \in \Omega$ ;
  - **4**  $Z_1$  and  $(Z_j : j \in \mathcal{A})$  are independent whenever  $\mathcal{A} \notin \Omega$ .

These are codes

- specified by random variables
- satisfied functional dependency constraint

The basic questions are ...

- How to find an efficient code?
- Bounds on the rate of codes?
- Necessary condition for the existence of a code?
- In particular, assume a finite regime alphabet sizes are fixed

- Codes are random variables
- Hence, information inequalities also govern codes
- Examples Linear Programming Bound in Network Coding and Secret Sharing
- "Asymptotic" in nature Singleton Bound is tight for sufficiently large alphabet

# **Finite Codes**

- Let  $Z_1, \ldots, Z_n$  be a set of non-empty sets, of sizes  $N_1, \ldots, N_n$
- Assume WLOG  $\mathcal{Z}_i = \{0, \dots, N_i 1\}$
- A code C is a non-empty subset of  $\prod_{i=1}^{n} Z_i$  (or simply  $Z^{\mathcal{N}}$ ).
- For any codewords,  $\mathbf{a} = (a_1, \dots, a_n), \mathbf{b} = (b_1, \dots, b_n) \in \mathcal{Z}^{\mathcal{N}}$ , their
  - difference  $(\mathbf{a} \mathbf{b}) \triangleq (a_1 b_1, \dots, a_n b_n)$ ■ support -  $S(\mathbf{a}, \mathbf{b}) \triangleq \{j \in \{1, \dots, n\} : a_j - b_j \neq 0\}.$
  - $\blacksquare distance |S(\mathbf{a}, \mathbf{b})|$

The minimum distance of a code C is defined as

$$\min_{\mathbf{a},\mathbf{b}\in\mathcal{C}:\mathbf{a}\neq\mathbf{b}}|S(\mathbf{a},\mathbf{b})|.$$



Suppose  $C = \{(0, 1, 1), (0, 2, 1), (1, 2, 1)\}$  where  $N_i = \{0, 1, 2\}$ 

Consider the pair of codewords (0, 1, 1) and (0, 2, 1)

- **Difference is** (0, 2, 0)
- Support is the subset {1} and the distance is 1.
- Consider the pair of codewords (0, 1, 1) and (1, 2, 1)
  - Difference is (2, 2, 0)
  - Support is the subset {1,2} and the distance is 2.
- Denote the support be a binary vector of length n
- E.g., (0, 1, 0) and (1, 1, 0) (i.e., a subset is a binary vector)

## **Enumerators**

Given a code  $\mathcal{C}$ ,

Difference enumerator (FE)

$$\textit{Diff}(a) = |\{(b,c): \ b,c \in \mathcal{C} \text{ and } b - c = a\}|.$$

Support enumerator (SE)

$$Supp(\mathbf{r}) = |\{(\mathbf{b}, \mathbf{c}) : \mathbf{b}, \mathbf{c} \in \mathcal{C} \text{ and } S(\mathbf{b}, \mathbf{c}) = \mathbf{r}\}|$$
$$= \sum_{\mathbf{a}: a_i \neq 0 \text{ iff } i \in \mathbf{r}} Diff(\mathbf{a}).$$

Distance enumerator (DE)

$$Dist(i) = |\{(\mathbf{b}, \mathbf{c}) : \mathbf{b}, \mathbf{c} \in \mathcal{C} \text{ and } |S(\mathbf{b}, \mathbf{c})| = i\}|$$
$$= \sum_{\mathbf{r}:|\mathbf{r}|=i} Supp(\mathbf{r}).$$

Sometimes, normalised with the factor  $1/|\mathcal{C}|^2$ 

# **Necessary condition**

Think of  $Supp(\mathbf{r})$  as a vector of size  $2^n$ :

Theorem (Necessary condition)

Support enumerator will satisfy the following conditions:

$$Supp(\mathbf{r}) \ge 0$$
  
 $\sum_{\mathbf{r}} Supp(\mathbf{r}) \prod_{j=1}^{n} \kappa_{N_j}(r_j, s_j) \ge 0$ 

where  $\mathbf{r} = (r_1, \ldots, r_n), \mathbf{s} = (s_1, \ldots, s_n) \subseteq \mathcal{N}$ , and

$$\kappa_{N_j}(r_j, s_j) = \begin{cases} 1 & \text{if } r_j = 0\\ N_j - 1 & \text{if } s_j = 0 \text{ and } r_j = 1\\ -1 & \text{otherwise,} \end{cases}$$

Proof

■ For each code *C*, it is associated with an "indicator function" *J* defined as follows

$$J(z_1,\ldots,z_n) = \begin{cases} 1 & \text{if } (z_1,\ldots,z_n) \in \mathcal{C} \\ 0 & \text{otherwise.} \end{cases}$$

The indication function is a "scaled" probability distribution
 Then Supp(a) = ∑<sub>b</sub> J(b)J(b + a).

## Theorem (Nonnegativity)

$$Diff(\mathbf{a}) = \sum_{\mathbf{b}} J(\mathbf{b}) J(\mathbf{b} + \mathbf{a}) \ge 0.$$

$$\widehat{Diff}(k_1, \dots, k_n) \triangleq \sum_{a_1, \dots, a_n} Diff(a_1, \dots, a_n) \prod_{j=1}^n e^{-2\pi a_j k_j / N_j} \ge 0$$

# Proof

Let

$$\delta_{N_j}(a_j, r_j) = \begin{cases} 1 & \text{ if } a_j = r_j = 0\\ 1 & \text{ if } a_j, r_j \neq 0\\ 0 & \text{ otherwise.} \end{cases}$$

$$Supp(\mathbf{r}) = \sum_{a_1,\ldots,a_n} Diff(a_1,\ldots,a_n) \prod_{j=1}^n \delta_{N_j}(a_j,r_j)$$

Let

$$\kappa_{N_j}(r_j, s_j) = \begin{cases} 1 & \text{if } r_j = 0\\ N_j - 1 & \text{if } s_j = 0 \text{ and } r_j = 1\\ -1 & \text{otherwise,} \end{cases}$$

Then

$$\sum_{k_1,...,k_n} \prod_{j=1}^n e^{-2\pi a_j k_j/N_j} \delta_{N_j}(k_j, s_j) = \sum_{r_1,...,r_n} \prod_{j=1}^n \delta_{N_j}(a_j, r_j) \kappa_{N_j}(r_j, s_j)$$

# Proof

$$\sum_{a_1,\dots,a_n} Diff(a_1,\dots,a_n) \prod_{j=1}^n e^{-2\pi a_j k_j/N_j} \ge 0$$
  
Notice that  

$$\sum_{k_1,\dots,k_n} \left( \sum_{a_1,\dots,a_n} Diff(a_1,\dots,a_n) \prod_{j=1}^n e^{-2\pi a_j k_j/N_j} \right) \delta_{N_j}(k_j,s_j) \ge 0$$
  

$$\sum_{a_1,\dots,a_n} Diff(a_1,\dots,a_n) \left( \sum_{k_1,\dots,k_n} \prod_{j=1}^n e^{-2\pi a_j k_j/N_j} \delta_{N_j}(k_j,s_j) \right) \ge 0$$
  

$$\sum_{a_1,\dots,a_n} Diff(a_1,\dots,a_n) \left( \sum_{r_1,\dots,r_n} \prod_{j=1}^n \delta_{N_j}(a_j,r_j) \kappa_{N_j}(r_j,s_j) \right) \ge 0$$
  

$$\sum_{r_1,\dots,r_n} \left( \sum_{a_1,\dots,a_n} Diff(a_1,\dots,a_n) \prod_{j=1}^n \delta_{N_j}(a_j,r_j) \right) \kappa_{N_j}(r_j,s_j) \ge 0$$
  

$$\sum_{r_1,\dots,r_n} Supp(\mathbf{r}) \prod_{j=1}^n \kappa_{N_j}(r_j,s_j) \ge 0$$

### Theorem (Delsarte's LP bound)

Let C be a code such that the minimum Hamming distance of C is at least d. Then  $|C|^2$  is upper bounded by the maximum of the following optimisation problem:

$$\begin{array}{ll} \textbf{maximize} & \sum_{\mathbf{r}} Supp(\mathbf{r}) \\ \textbf{subject to} & Supp(\mathbf{r}) \ge 0 & \forall \mathbf{r} \\ & \sum_{\mathbf{s}} Supp(\mathbf{s}) \prod_{j=1}^{n} \kappa_{N_j}(s_j, r_j) \ge 0 & \forall \mathbf{r} \\ & |Supp(\mathbf{r})| = 0 & \forall \mathbf{r} : 1 \le |\mathbf{r}| \le d-1 \end{array}$$

# Renyi entropy and codes

# **Renyi entropy**

## Definition

Let Z be a random variable with probability distribution f(z). Then its Renyi entropy of order  $\alpha$  for  $\alpha \ge 0$  and  $\alpha \ne 1$  is defined as

$$H_{\alpha}(Z) \triangleq rac{1}{1-lpha} \log \left( \sum_{z; f(z) > 0} f(z)^{lpha} 
ight)$$

When  $\alpha = 1$ ,  $H_1(Z) \triangleq \lim_{\alpha \to 1} H_\alpha(Z)$ .

Examples

$$H_2(Z) = -\log\left(\sum_z f(z)^2\right)$$
$$H_1(Z) = -\sum_z f(z)\log f(z)$$
$$H_0(Z) = \log|\{z: f(z) > 0\}|.$$

Let *X* and *Y* be two independent random variables, identically distributed as *Z*.

• Then 
$$H_2(Z) = -\log \Pr(X = Y)$$
.

Let  $\mathbf{X} = (X_1, \dots, X_n)$  and  $\mathbf{Y} = (Y_1, \dots, Y_n)$  be two independent sets of random variables with the same probability distribution *f*. Then for any  $\mathbf{s} \subseteq \mathcal{N}$ ,

$$\psi_f(\mathbf{s}) \triangleq \Pr(S(\mathbf{X}, \mathbf{Y}) \subseteq \mathbf{s}) = 2^{-H_2(X_{\overline{\mathbf{s}}})}$$

## Extension

Let *f* be a probability mass function for random variables (*Z*<sub>1</sub>,...,*Z<sub>n</sub>*).
 Let

 $F(\mathbf{a}) = \sum_{\mathbf{b}} f(\mathbf{b}) f(\mathbf{b} + \mathbf{a})$  $\phi(\mathbf{r}) = \sum_{\mathbf{a}} F(\mathbf{a}) \prod_{j=1}^{n} \delta_{N_j}(a_j, r_j)$ 

(Compare:  $Supp(\mathbf{r}) = \sum_{\mathbf{a}} F(\mathbf{a}) \prod_{j=1}^{n} \delta_{N_j}(a_j, r_j)$  when f = J)

- Let  $\mathbf{X} = (X_1, \dots, X_n)$  and  $\mathbf{Y} = (Y_1, \dots, Y_n)$  be two independent sets of random variables with the same probability distribution *f*.

$$\phi(\mathbf{r}) = \Pr(S(\mathbf{X}, \mathbf{Y}) = \mathbf{r}).$$

#### **Theorem (Support Enumerator)**

$$\phi_f(\mathbf{r}) \geq 0 \ \sum_{\mathbf{r}} \phi_f(\mathbf{r}) \prod_{j=1}^n \kappa_{N_j}(r_j,s_j) \geq 0$$

for all 
$$\mathbf{r} = (r_1, \ldots, r_n), \mathbf{s} = (s_1, \ldots, s_n) \subseteq \mathcal{N}.$$

For f induced by a code C, then

$$\phi_f(\mathbf{r}) = \frac{1}{|\mathcal{C}|^2} Supp(\mathbf{r}).$$

### **Mobius Transform**

#### Recall

$$\psi_f(\mathbf{s}) = \Pr(S(\mathbf{X}, \mathbf{Y}) \subseteq \mathbf{s}) = 2^{-H_2(X_{\bar{\mathbf{s}}})}$$
$$\phi_f(\mathbf{s}) = \Pr(S(\mathbf{X}, \mathbf{Y}) = \mathbf{s})$$

#### Theorem (Relation to Renyi entropy)

$$\sum_{\mathbf{r}:\mathbf{r}\subseteq\mathbf{s}}\phi_f(\mathbf{r}) = \psi_f(\mathbf{s}),$$
$$\sum_{\mathbf{s}:\mathbf{s}\subseteq\mathbf{v}}(-1)^{|\mathbf{v}\setminus\mathbf{s}|}\psi_f(\mathbf{s}) = \phi_f(\mathbf{v})$$

for all  $r,s,v\subseteq \mathcal{N}$ 

#### Theorem

n

Let *f* be a probability distribution of a set of discrete random variables  $(Z_1, \ldots, Z_n)$ . Then for all  $\mathbf{r} \subseteq \mathcal{N}$ ,

$$\phi_f(\mathbf{r}) = \sum_{\mathbf{s}:\mathbf{s}\subseteq\mathbf{r}} (-1)^{|\mathbf{r}\setminus\mathbf{s}|} 2^{-H_2(Z_{\mathbf{\bar{s}}})} \ge 0,$$

$$\sum_{\mathbf{r}} \phi_f(\mathbf{r}) \prod_{j=1}^n \kappa_{N_j}(r_j, s_j) = \sum_{\mathbf{u}: \mathbf{u} \subseteq \mathbf{r}} (-1)^{|\mathbf{u}|} 2^{-H_2(Z_{\bar{\mathbf{u}} \cap \mathbf{r}})} \prod_{j: j \in \mathbf{r} \setminus \mathbf{u}} 2^{H_0(Z_j)} \ge 0.$$

#### Theorem

Let  $\{Z_1, \ldots, Z_n\}$  be a set of marginally uniform random variables. Then for all  $\mathbf{r} \subseteq \mathcal{N}$ ,

$$\begin{split} &\sum_{\boldsymbol{s}:\boldsymbol{s}\subseteq\boldsymbol{r}}(-1)^{|\boldsymbol{r}\setminus\boldsymbol{s}|}2^{-H_2(Z_{\boldsymbol{\tilde{s}}})}\geq 0,\\ &\sum_{\boldsymbol{u}:\boldsymbol{u}\subseteq\boldsymbol{r}}(-1)^{|\boldsymbol{u}|}2^{-H_2(Z_{\boldsymbol{r}\setminus\boldsymbol{u}})+\sum_{j:j\in\boldsymbol{r}\setminus\boldsymbol{u}}H_2(Z_j)}\geq 0. \end{split}$$

## **Dualities**

#### **Theorem (Dualities)**

- Let f be a probability distribution of a set of marginally uniform discrete random variables (Z<sub>1</sub>,..., Z<sub>n</sub>).
- Let  $\rho(\mathbf{r}) \triangleq H_2(Z_j, j \in \mathbf{r})$  be the collision (or extension) entropy function
- Let  $\mu(\mathbf{r}) \triangleq \sum_{i \in \mathbf{r}} \rho(i) + \rho(\bar{\mathbf{r}}) \rho(\mathcal{N})$  be its induced dual. ■ Then for all  $\mathbf{r} \subset \mathcal{N}$ .

$$\begin{split} &\sum_{\mathbf{s}:\mathbf{s}\subseteq\mathbf{r}} (-1)^{|\mathbf{r}\setminus\mathbf{s}|} 2^{\rho(\mathcal{N})-\rho(\bar{\mathbf{s}})} \geq 0\\ &\sum_{\mathbf{s}:\mathbf{s}\subseteq\mathbf{r}} (-1)^{|\mathbf{r}\setminus\mathbf{s}|} 2^{\mu(\mathcal{N})-\mu(\bar{\mathbf{s}})} \geq 0 \end{split}$$

#### Theorem

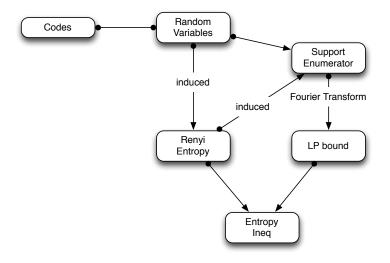
Let *G* be a finite group and  $G_1, \ldots, G_n$  be its subgroups. There exists random variables  $U_1, \ldots, U_n$  such that

$$H_0(U_i, i \in \alpha) = H_2(U_i, i \in \alpha) = \log |G| - \log |\cap_{i \in \alpha} G_i|.$$

#### Corollary

$$\sum_{\mathbf{s}:\mathbf{s}\supseteq\bar{\mathbf{r}}} (-1)^{|\mathbf{s}-\mathbf{r}|} |\cap_{i\in\mathbf{s}} G_i| \ge 0$$
$$\sum_{\mathbf{s}:\mathbf{s}\subseteq\mathbf{r}} \left(\frac{-1}{|G|}\right)^{|\mathbf{s}|} \frac{|\cap_{i\in\mathbf{r}\setminus\mathbf{s}} G_i|}{\prod_{j:j\in\mathbf{r}\setminus\mathbf{s}} |G_j|} \ge 0$$

for all  $\mathbf{r} \subseteq \mathcal{N}$ .



# What about applications?

## **Coding constraint**

Suppose  $\mathcal{C}\subseteq \mathcal{Z}^\mathcal{N}$  is a code. Let  $\mathit{Shorten}(s) = \sum_{r:r\subseteq s} \mathit{Supp}(r)$ 

Shorten(s) – number of codeword pairs such that the two codewords agree at "positions" not in s.

• Compare 
$$\psi_f(\mathbf{s}) = \sum_{\mathbf{r}:\mathbf{r}\subseteq\mathbf{s}} \phi_f(\mathbf{r})$$

$$\phi_f(\mathbf{s}) \Leftrightarrow \frac{1}{|\mathcal{C}|^2} Supp(\mathbf{s})$$

$$2^{-H_2(\mathbf{Z}_{\bar{\mathbf{s}}})} = \psi_f(\mathbf{s}) \Leftrightarrow \frac{1}{|\mathcal{C}|^2} Shorten(\mathbf{s})$$

If  $\mathbf{Z}_{\mathcal{B}}$  is a function of  $\mathbf{Z}_{\mathcal{A}}$ , then

$$Shorten(\mathcal{N} - (\mathcal{A} \cup \mathcal{B})) = Shorten(\mathcal{N} - \mathcal{A})$$

If  $\mathbf{Z}_{\mathcal{A}}$  and  $\mathbf{Z}_{\mathcal{B}}$  are independent, then  $Shorten(\mathcal{N}-(\mathcal{A}\cup\mathcal{B}))Shorten(\mathcal{N}) = Shorten(\mathcal{N}-\mathcal{A})Shorten(\mathcal{N}-\mathcal{B})$ 

#### Theorem (Delsarte's LP bound)

Let C be a code such that the minimum Hamming distance of C is at least d. Then  $|C|^2$  is upper bounded by the maximum of the following optimisation problem:

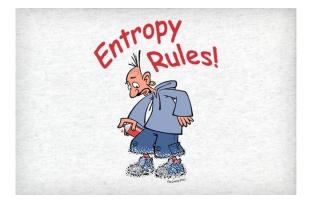
$$\begin{array}{ll} \textbf{maximize} & \sum_{\mathbf{r}} Supp(\mathbf{r}) \\ \textbf{subject to} & Supp(\mathbf{r}) \ge 0 & \forall \mathbf{r} \\ & \sum_{\mathbf{s}} Supp(\mathbf{s}) \prod_{j=1}^{n} \kappa_{N_j}(s_j, r_j) \ge 0 & \forall \mathbf{r} \\ & |Supp(\mathbf{r})| = 0 & \forall \mathbf{r} : 1 \le |\mathbf{r}| \le d-1 \end{array}$$

 $|\mathcal{C}|^2$  is upper-bounded by the optimum of the following linear programming problem:

$$\begin{array}{ll} \text{maximize} & \sum_{\mathbf{r}} Supp(\mathbf{r}) \\ \text{subject to} & Supp(\mathbf{r}) \geq 0 & \forall \mathbf{r} \\ & \sum_{\mathbf{s}} Supp(\mathbf{s}) \prod_{j=1}^{n} \kappa_{N_j}(s_j, r_j) \geq 0 & \forall \mathbf{r} \\ & Shorten(\mathbf{r}) = \sum_{\mathbf{s} \subseteq \mathbf{r}} Supp(\mathbf{s}) & \forall \mathbf{r} \\ & Shorten(\mathcal{A}) = 1 & \forall \mathcal{A} \in \Lambda^2 \,. \end{array}$$

The optimum efficiency is upper-bounded by the optimum of the following optimisation:

 $\min_{j \in \mathcal{N} - \{1\}} \frac{\log Shorten(\mathcal{N}) - \log Shorten(\mathcal{N} - \{1\})}{\log Shorten(\mathcal{N}) - \log Shorten(\mathcal{N} - \{j\})}$ maximize subject to  $Supp(\mathbf{r}) \geq 0$  $\sum Supp(\mathbf{s}) \prod^{n} \kappa_{N_{j}}(s_{j}, r_{j}) \geq 0$ j=1 $Shorten(\mathbf{r}) = \sum Supp(\mathbf{s})$ s⊂r  $Shorten(\mathcal{N} - (\mathcal{A} \cup \{1\})) = Shorten(\mathcal{N} - \mathcal{A}), \quad \forall \mathcal{A} \in \Omega$ Shorten( $\mathcal{N} - (\mathcal{A} \cup \{1\})$ )Shorten( $\mathcal{N}$ )  $= Shorten(\mathcal{N} - \mathcal{A})Shorten(\mathcal{N} - \{1\}), \quad \forall \mathcal{A} \notin \Omega$ 



## Thank You !!