Entropy and matroids

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Hong Kong, April 15-17, 2013

Matroids from matrices Entropy Entropy and matrices

$\textit{N} = \{1 \;,\; 2 \;,\; 3 \;,\; 4 \;,\; 5 \;,\; 6\} \quad ... \text{ a ground set}$

Matroids from matrices Entropy Entropy and matrices

$$N = \{1, 2, 3, 4, 5, 6\} \quad \dots \text{ a ground set}$$
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with entri
and column

matrix with entries in a field and columns labeled by *N*

Matroids from matrices Entropy Entropy and matrices

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e.g.
$$I = \{3, 5, 6\} \subseteq N$$
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a ground set a matrix with entries in a field and columns labeled by *N*

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e.g. of $A_I = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}$

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Matroids from matrices

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e.g. $I = \{3, 5, 6\} \subseteq N$, for each set of labels. e.g. of $A_I = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}$ record the rank of the *I*-submatrix,

(may depend on an underlying field)

collect basic properties of the ranks of all A_1 submatrices

Matroids from matrices Entropy Entropy and matrices

Definition (Matroid I)

(N, r) is a matroid, with a ground set N and rank function $r: I \mapsto \{0, 1, \ldots\}, I \subseteq N$, if

Matroids from matrices Entropy Entropy and matrices

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Matroids from matrices Entropy Entropy and matrices

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Matroids from matrices Entropy Entropy and matrices

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Matroids from matrices Entropy Entropy and matrices

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The matroid is linear over a field \mathbb{F} if a matrix A exists such that $r(I) = \operatorname{rank}_{\mathbb{F}}(A_I)$ for all $I \subseteq N$.

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Matroids from matrices Entropy Entropy and matrices

'Thm': 'one-to-one correspondence' between (N, \mathcal{I}) and (N, r)

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Matroids from matrices Entropy Entropy and matrices

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four more axiom systems

Matroids from matrices Entropy Entropy and matrices

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four more axiom systems

many theorems on one-to-one correspondences

Matroids from matrices Entropy Entropy and matrices

sometimes a matroid can be described by a point configuration

Matroids from matrices Entropy Entropy and matrices

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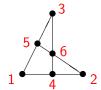
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Matroids from matrices Entropy Entropy and matrices

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r(I) ... affine dimension of IB base ... three points not collinear C circuit ... {1,2,4}, {1,4,5,6}, etc.

Matroids from matrices Entropy Entropy and matrices

$$A = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} B = \begin{bmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

A and B have the same row spaces (codes) over
$$GF(2)$$
,

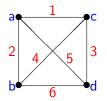
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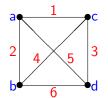
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B base ... edges of a spanning tree *C* circuit ... edges of a minimal cycle

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matroids ... vertexless graphs

Matroids from matrices Entropy Entropy and matrices

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Matroids from matrices Entropy Entropy and matrices

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governed by p_1, \ldots, p_m , nonnegative, summing to 1

Matroids from matrices Entropy Entropy and matrices

ξ ... random variable with outcomes $x_1, ..., x_m$ governed by $p_1, ..., p_m$, nonnegative, summing to 1 Shannon entropy $H(\xi) = -p_1 \ln p_1 - ... - p_m \ln p_m$

Matroids from matrices Entropy Entropy and matrices

 ξ ... random variable with outcomes $x_1, ..., x_m$ governed by $p_1, ..., p_m$, nonnegative, summing to 1 Shannon entropy $H(\xi) = -p_1 \ln p_1 - ... - p_m \ln p_m$... amount of uncertainty in ξ ξ ... random variable with outcomes $x_1, ..., x_m$ governed by $p_1, ..., p_m$, nonnegative, summing to 1 Shannon entropy $H(\xi) = -p_1 \ln p_1 - ... - p_m \ln p_m$... amount of uncertainty in ξ between 0 and ln m ξ ... random variable with outcomes $x_1, ..., x_m$ governed by $p_1, ..., p_m$, nonnegative, summing to 1 Shannon entropy $H(\xi) = -p_1 \ln p_1 - ... - p_m \ln p_m$... amount of uncertainty in ξ between 0 and ln m $H(\xi) = 0$ iff all but one $p_1, ..., p_m$ equal zero ξ ... random variable with outcomes $x_1, ..., x_m$ governed by $p_1, ..., p_m$, nonnegative, summing to 1 Shannon entropy $H(\xi) = -p_1 \ln p_1 - ... - p_m \ln p_m$... amount of uncertainty in ξ between 0 and ln m $H(\xi) = 0$ iff all but one $p_1, ..., p_m$ equal zero $H(\xi) = \ln m$ iff $p_1 = ... = p_m = \frac{1}{m}$

Matroids from matrices Entropy Entropy and matrices

ξ_1, \ldots, ξ_n random variables governed by a distribution *P*

Matroids from matrices Entropy Entropy and matrices

 ξ_1, \dots, ξ_n random variables governed by a distribution P $N = \{1, 2, 3\}$ P

Matroids from matrices Entropy Entropy and matrices

 $\begin{array}{lll} \xi_1,\ldots,\xi_n & \mbox{random variables governed by a distribution } P \\ N = \{1\ ,\ 2\ ,\ 3\} & P \\ & \begin{array}{c|c} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{array} \right| \begin{array}{c} p_{000} & & \mbox{... an array of joint outcomes} \\ & \mbox{with a column of probabilities} \\ & \mbox{summing to one} \end{array}$

Matroids from matrices Entropy Entropy and matrices

for each set I of labels,

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Matroids from matrices Entropy Entropy and matrices

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keep the corresponding columns

Matrices, matroids and entropy Matroids and entropy functions Entropy

 ξ_1,\ldots,ξ_n random variables governed by a distribution P $N = \{1, 2, 3\}$ P 0 0 0 *P*000 ... an array of joint outcomes 0 1 1 $|_{p_{011}}$ with a column of probabilities 1 0 1 p_{101} summing to one 1 1 0 p_{110}

for each set *I* of labels. keep the corresponding columns erase row repetitions but add probabilities

e.g.
$$I = \{1\}$$
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Matroids from matrices Entropy Entropy and matrices

for each set *I* of labels, keep the corresponding columns erase row repetitions but add probabilities $\begin{array}{c|c} e.g. & I = \{1\} & P^{I} \\ 0 & p_{000} + p_{011} \\ p_{101} + p_{110} \end{array}$

Matroids from matrices Entropy Entropy and matrices

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Matroids from matrices Entropy Entropy and matrices

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0 \\
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Matroids from matrices Entropy Entropy and matrices

for each set *I* of labels, keep the corresponding columns erase row repetitions but add probabilities to get the marginal distribution P^{I} of Pgoverning the subvector $\xi_{I} = (\xi_{i})_{i \in I}$ of random variables look at the entropy $H(\xi_{I})$

Entropy and matrices

$N = \{1, 2, 3\}$ P

Matroids from matrices Entropy Entropy and matrices

$$N = \{ 1, 2, 3 \} P$$

$$0 0 0 | 1/4$$

$$0 1 1 | 1/4$$

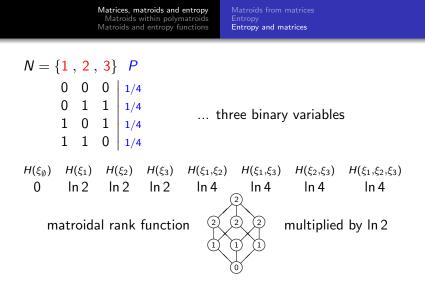
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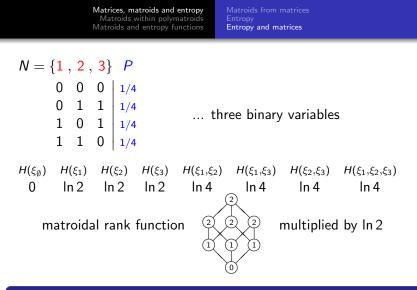
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... three binary variables

	Matrices, matroids and Matroids within poly Matroids and entropy	matroids	Matroids from matrices Entropy Entropy and matrices
$N = \{ \begin{array}{c} 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 1 \end{array} \right.$, 3} P 0 1/4 1 1/4 1 1/4 0 1/4	th	ree binary variables





Theorem

If ξ_1, \ldots, ξ_n are distributed uniformly on the linear code generated by a matrix A over \mathbb{F} then $H(\xi_I) = \operatorname{rank}(A_I) \ln |\mathbb{F}|, I \subseteq N$.

Polymatroids and Shannon type inequalities Matroids are extreme polymatroids Polymatroids with ideal secret sharing are matroids Matroids from remote distributions to statistical models

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collecting basic properties of the entropies $H(\xi_I)$, $I \subseteq N$

Definition (Polymatroid)

(N,g) is a polymatroid, with a ground set N and rank function $g: I \mapsto [0, +\infty), I \subseteq N$, if $g(\emptyset) = 0$ $g(I) \leq g(J)$ for $I \subseteq J \subseteq N$ $g(I) + g(J) \ge g(I \cup J) + g(I \cap J)$ for $I, J \subseteq N$.

(Edmonts 1970), flows in networks, 'greedy' definition books (Fujishige, Narayanan), review (Lovász 1982) connections to entropy: Fujishige 1978, Pippenger 1986

Polymatroids and Shannon type inequalities Matroids are extreme polymatroids Polymatroids with ideal secret sharing are matroids Matroids from remote distributions to statistical models

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$$\sum_{I\subseteq J\subseteq N} \lambda_{I,J} \big[g(J) - g(I) \big] + \sum_{I,J\subseteq N} \mu_{I,J} \big[g(I) + g(J) - g(I \cup J) - g(I \cap J) \big] \ge 0$$

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Shannon type inequality

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The polymatroid (N,g) is entropic if a random vector $\xi_N = (\xi_i)_{i \in N}$ exists such that $g(I) = H(\xi_I)$ for all $I \subseteq N$.

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 $I \mapsto H(\xi_I)$... entropy function

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in particular, if $I \cap J = \emptyset$ this is independence of ξ_I and ξ_J

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a set $I \subseteq N$ in a polymatroid (N,g) is connected iff

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a set $I \subseteq N$ in a polymatroid (N, g) is connected iff $g(I) = g(J) + g(I \setminus J)$ and $J \subseteq I$ imply $J = \emptyset$ or J = I

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Theorem (H.Q. Nguen 1978)

For a matroid (N, r), the rank function r is on an extreme ray of H_N iff it has a connected set $I \subseteq N$ such that $r(N \setminus I) = 0$.

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Nguyen: a necessary and sufficient condition for an integer polymatroid to be on an extreme ray of H_N

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N ... a set of participants

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 $N \dots$ a set of participants $0 \in N \dots$ dealer of a secret

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this implies $g(0) \leq g(i)$, $i \in N$ the sharing is ideal if g(0) = g(i), $i \in N$

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Theorem (Blakley Kabatianski 1997)

For a set N of participants and access structure A, a polymatroid (N,g) admits perfect secret sharing with A and g(i) = 1, $i \in N$, iff (N,g) is a matroid.

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an analogous theorem identifying matroids in the network coding?

a variable with the outcomes 0, 1, 2 governed by $Q_p = (p^2, 2p(1-p), (1-p)^2) \qquad \text{with p unknown}$

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assume a sample 0, 2, 0, 0, 2, 2 is observed

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MLE suggests to guess that p be $1/2 = \operatorname{argmax}_p p^6 (1-p)^6$

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the minimum is called the distance of P from model

N. Ay 2002

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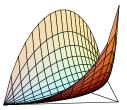
suggested to maximize this distance from exponential families

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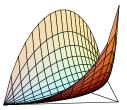


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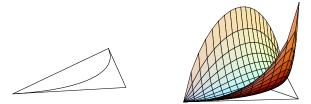




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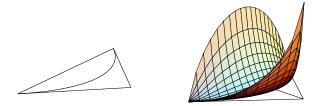


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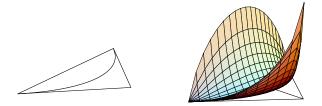


when the model consists of the factorizable distributions over a hypergraph (hierarchical log-linear models, in particular

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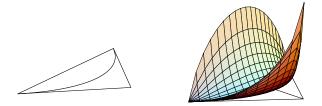


when the model consists of the factorizable distributions over a hypergraph (hierarchical log-linear models, in particular graphical Markov ones) then sometimes maximizers correspond to

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when the model consists of the factorizable distributions over a hypergraph (hierarchical log-linear models, in particular graphical Markov ones) then sometimes maximizers correspond to the ideal sss's (FM 2009)

Partition representable matroids Limits of entropic functions Almost entropic matroids

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Theorem (FM 1994)

Given a matroid (N, r) and $t \ge 0$, a random vector $(\xi_i)_{i \in N}$ represents $(N, r \cdot t)$ iff $t = \ln d$ for some integer $d \ge 1$ and ξ_I takes $d^{r(I)}$ values with the same probability, $I \subseteq N$.

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Given a matroid (N, r) and $t \ge 0$, a random vector $(\xi_i)_{i \in N}$ represents $(N, r \cdot t)$ iff $t = \ln d$ for some integer $d \ge 1$ and ξ_I takes $d^{r(I)}$ values with the same probability, $I \subseteq N$.

if this happens, the matroid is called p-representable of degree d

Partition representable matroids Limits of entropic functions Almost entropic matroids

If a matroid (N, r) is linear over a field \mathbb{F} then the polymatroid $(N, r \ln |\mathbb{F}|)$ is entropic.

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Partition representable matroids Limits of entropic functions Almost entropic matroids

d = 3

	Matrices, matroids and entropy Matroids within polymatroids Matroids and entropy functions	Partition representable matroids Limits of entropic functions Almost entropic matroids	
<i>d</i> = 3			
11 23 32	-		
33 12 21	two orthogonal	two orthogonal Latin squares	
22 31 13	3		

		Matrices, matroids and entropy Matroids within polymatroids Matroids and entropy functions	Partition representable matroids Limits of entropic functions Almost entropic matroids
d = 3	3		
11 2		-	1
33 122 3			Latin squares

array of nine four-tuples $\{(i, j, k, l): i, j \in \{1, 2, 3\}\}$

	Matrices, matroids and entropy Matroids within polymatroids Matroids and entropy functions	Partition representable matroids Limits of entropic functions Almost entropic matroids		
<i>d</i> = 3				
11 23 32	2			
33 12 23	1 two orthogonal	Latin squares		
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with the uniform distribution

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11 23 3	2			
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the four variables represent the uniform matroid $U_{2,4}$

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d	_	: 3			
11	L	23	<mark>3</mark> 2		
33	3	<u>1</u> 2	21	two orthogonal	Latin squares
22	2	31	13		
array of nine four-tuples $\{(i, j, k, l): i, j \in \{1, 2, 3\}\}$ with the uniform distribution					
+h	~	four		iables represent the	uniform matraid 11

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<i>d</i> =	3			
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11 23 32	2			
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p-representation of $U_{2,4}$ of the degree $d=10$ exists				

(ideal sss for 2 out 3 participants with the secret of size 10)

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	<i>d</i> = 3			
	112332331221223113	two orthogonal	Latin squares	
array of nine four-tuples $\{(i, j, k, l) : i, j \in \{1, 2, 3\}\}$ with the uniform distribution				
	the four variables represent the uniform matroid $U_{2,4}$ each representation of $U_{2,4}$ is of this sort			

p-representation of $U_{2,4}$ of the degree d = 10 exists (ideal sss for 2 out 3 participants with the secret of size 10)

A matroid (N, r) is p-representable of the degree 2/3 iff it is linear over GF(2)/GF(3). (Beimel, FM, independently)

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d = |G| where (G, \cdot) is a finite group

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 $d = |G| \text{ where } (G, \cdot) \text{ is a finite group}$ array {(x, y, z, xy, yz, xyz): x, y, z \in G} with d³ rows and the uniform distribution yz y xy xy x

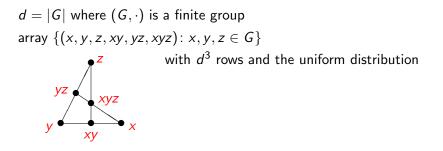
Partition representable matroids Limits of entropic functions Almost entropic matroids

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yz xyz xyz xyz xyz

the six random variables *p*-represent the matroid

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the six random variables *p*-represent the matroid each representation of this matroid is of this type (FM 1999) ... an equivalent definition of the group via entropy

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H_N ... the polymatroidal rank functions with the ground set N

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Theorem (Zhang & Yeung 1997)

 $cl(H_N^{ent})$ is a convex cone

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hence, $h \in cl(H_N^{ent})$ iff each $h \cdot t \in cl(H_N^{ent})$, $t \ge 0$

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non-Shannon type inequalities

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? can a p-representable matroid violate Ingleton inequality ?