# **Communication Amid Uncertainty**

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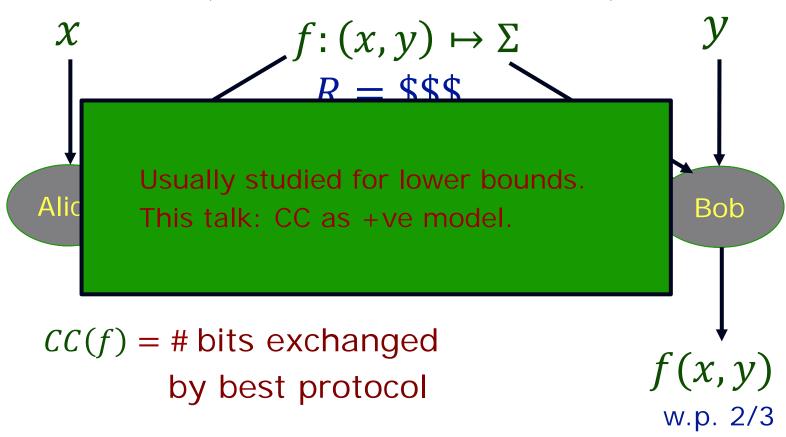
#### Context in Communication

- Sender + Receiver share (huuuge) context
  - In human comm: Language, news, Social
  - In computer comm: Protocols, Codes, **Distributions**
  - Helps compress communication
- Perfectly shared ⇒ Can be abstracted away.
- Imperfectly shared ⇒ What is the cost?
  - How to study?



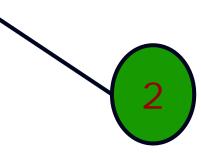
#### **Communication Complexity**

The model (with shared randomness)



# **Modelling Shared Context + Imperfection**

- Many possibilities. Ongoing effort.
- Alice+Bob may have estimates of x and y.
  - More generally: x, y correlated.
- Knowledge of f function Bob wants to compute
  - may not be exactly known to Alice!
- Shared randomness
  - Alice + Bob may not have identical copies.



# Part 1: Uncertain Compression

## **Specific Motivation: Dictionary**

- Dictionary: maps words to meaning
  - Multiple words with same meaning
  - Multiple meanings to same word

```
M_1 = w_{11}, w_{12}, ...

M_2 = w_{21}, w_{22}, ...

M_3 = w_{31}, w_{32}, ...

M_4 = w_{41}, w_{42}, ...

...
```

- How to decide what word to use (encoding)?
- How to decide what a word means (decoding)?
  - Common answer: Context
- Really Dictionary specifies:
  - Encoding: context × meaning → word
  - Decoding: context × word → meaning
- Context implicit; encoding/decoding works even if context used not identical!

#### **Context?**

- In general complex notion ...
  - What does sender know/believe
  - What does receiver know/believe
  - Modifies as conversation progresses.

#### Our abstraction:

- Context = Probability distribution on potential "meanings".
- Certainly part of what the context provides; and sufficient abstraction to highlight the problem.

## The (Uncertain Compression) problem

- Wish to design encoding/decoding schemes (E/D) to be used as follows:
  - Sender has distribution P on  $M = \{1,2,...,N\}$
  - Receiver has distribution Q on  $M = \{1, 2, ..., N\}$
  - Sender gets  $X \in M$
  - Sends E(P,X) to receiver.
  - Receiver receives Y = E(P, X)
  - Decodes to  $\hat{X} = D(Q, Y)$
  - Want:  $X = \hat{X}$  (provided P, Q close),
    - While minimizing  $Exp_{X\leftarrow P} |E(P,X)|$

#### Closeness of distributions:

■ P is  $\Delta$ -close to Q if for all  $X \in M$ ,

$$\frac{1}{2^{\Delta}} \le \frac{P(X)}{Q(X)} \le 2^{\Delta}$$

■ 
$$P \triangle$$
-close to  $Q \Rightarrow D(P||Q), D(Q||P) \le \Delta$ .

# **Dictionary = Shared Randomness?**

- Modelling the dictionary: What should it be?
- Simplifying assumption it is shared randomness, so ...
- Assume sender and receiver have some shared randomness R and X,P,Q independent of R.

$$Y = E(P, X, R)$$

$$\hat{X} = D(Q, Y, R)$$

• Want  $\forall X$ ,  $\Pr_{R}[\hat{X} = X] \ge 1 - \epsilon$ 

# Solution (variant of Arith. Coding)

- Use R to define sequences
  - $R_1$  [1],  $R_1$  [2],  $R_1$  [3], ...
  - $R_2$  [1],  $R_2$  [2],  $R_2$  [3], ...

  - $R_N$  [1],  $R_N$  [2],  $R_N$  [3], ....
- $E_{\Delta}(P, x, R) = R_x[1 ... L]$ , where L chosen s.t.  $\forall z \neq x$ Either  $R_z[1 ... L] \neq R_x[1 ... L]$

Or 
$$P(z) < \frac{P(x)}{4^{\Delta}}$$

 $D_{\Delta}(Q, y, R) = \operatorname{argmax}_{\hat{x}} \{Q(\hat{x})\} \operatorname{among} \hat{x} \in \{z \mid R_z[1 \dots L] = y\}$ 

#### **Performance**

- Obviously decoding always correct.
- Easy exercise:
  - $Exp_X [E(P,X)] = H(P) + 2 \Delta$
- Limits:
  - No scheme can achieve  $(1 \epsilon) \cdot [H(P) + \Delta]$
  - Can reduce randomness needed.

## **Implications**

- Reflects the tension between ambiguity resolution and compression.
  - Larger the ((estimated) gap in context), larger the encoding length.
  - Entropy is still a valid measure!
- Coding scheme reflects the nature of human communication (extend messages till they feel unambiguous).
- The "shared randomness" assumption
  - A convenient starting point for discussion
  - But is dictionary independent of context?
    - This is problematic.

## **Deterministic Compression: Challenge**

- Say Alice and Bob have rankings of N players.
  - Rankings = bijections  $\pi, \sigma : [N] \to [N]$
  - $\pi(i)$  = rank of  $i^{th}$  player in Alice's ranking.
- Further suppose they know rankings are close.
  - $\forall i \in [N]: |\pi(i) \sigma(i)| \le 2.$
- Bob wants to know: Is  $\pi^{-1}(1) = \sigma^{-1}(1)$
- How many bits does Alice need to send (noninteractively).
  - With shared randomness 0(1)
  - Deterministically?
    - With Elad Haramaty:  $O(\log^* n)$

# Part 2: Imperfectly Shared **Randomness**

## Model: Imperfectly Shared Randomness

- Alice  $\leftarrow r$ ; and Bob  $\leftarrow s$  where (r,s) = i.i.d. sequence of correlated pairs  $(r_i,s_i)_i$ ;  $r_i,s_i \in \{-1,+1\}; \mathbb{E}[r_i] = \mathbb{E}[s_i] = 0; \mathbb{E}[r_is_i] = \rho \geq 0$ .
- Notation:
  - $isr_{\rho}(f) = cc \text{ of } f \text{ with } \rho\text{-correlated bits.}$
  - cc(f): Perfectly Shared Randomness cc. =  $isr_1(f)$
  - priv(f): cc with PRIVate randomness
- Starting point: for Boolean functions f
  - $cc(f) \le isr_{\rho}(f) \le priv(f) \le cc(f) + \log n$
  - What if  $cc(f) \ll \log n$ ? E.g. cc(f) = O(1)

 $= isr_0(f)$ 

## Imperfectly Shared Randomness: Results

- Model first studied by [Bavarian, Gavinsky, Ito'14] ("Independently and earlier").
  - Their focus: Simultaneous Communication; general models of correlation.
  - They show isr(Equality) = O(1) (among other things)

#### Our Results:

- Generally:  $cc(f) \le k \Rightarrow isr(f) \le 2^k$
- Converse:  $\exists f \text{ with } cc(f) \leq k \& isr(f) \geq 2^k$

# **Aside: Easy CC Problems**

- Equality testing:
  - $EQ(x,y) = 1 \Leftrightarrow x = y;$
- Hamming distance:
  - $H_k(x,y) = 1 \Leftrightarrow \Delta(x,y) \leq k;$
- Small set intersection:

  - $CC(\cap_k) = O(k)$  [Håstad Wi
- Gap (Real) Inner Produ
  - $x, y \in \mathbb{R}^n$ ;  $|x|_2$ ,  $|y|_2 = 1$ ;
  - $GIP_{c,c}(x,y) = 1 \text{ if } \langle x,y \rangle \geq c;$

Thanks to Badih Ghazi and Pritish Kamath

#### Protocol:

 $\Gamma \sim \Gamma \sim \Gamma \sim 10^{-1}$ 

$$y = (x_1, ..., x_n)$$
Use common to hash  $[n] \rightarrow (x, y) \triangleq \sum_{i} x_i y_i$ 

Main Insight:

If 
$$G \leftarrow N(0,1)^n$$
, then 
$$\mathbb{E}[\langle G, x \rangle \cdot \langle G, y \rangle] = \langle x, y \rangle$$

## **Equality Testing (our proof)**

- Key idea: Think inner products.
  - Encode  $x \mapsto X = E(x); y \mapsto Y = E(y); X, Y \in \{-1, +1\}^N$ 
    - $x = y \Rightarrow \langle X, Y \rangle = N$
    - $x \neq y \Rightarrow \langle X, Y \rangle \leq N/2$
- Estimating inner products:
  - Building on sketching protocols ...
  - Alice: Picks Gaussians  $G_1, ... G_t \in \mathbb{R}^N$ ,
  - Sends  $i \in [t]$  maximizing  $\langle G_i, X \rangle$  to Bob.
  - Bob: Accepts iff  $\langle G'_i, Y \rangle \geq 0$
  - Analysis:  $O_{\rho}(1)$  bits suffice if  $G \approx_{\rho} G'$

Gaussian Protocol

# **General One-Way Communication**

- Idea: All communication ≤ Inner Products
- (For now: Assume one-way- $cc(f) \le k$ )
  - For each random string R
    - Alice's message =  $i_R \in [2^k]$
    - Bob's output =  $f_R(i_R)$  where  $f_R: [2^k] \rightarrow \{0,1\}$
    - W.p.  $\geq \frac{2}{3}$  over R,  $f_R(i_R)$  is the right answer.

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- Vector representation:
  - $i_R \mapsto x_R \in \{0,1\}^{2^k}$  (unit coordinate vector)
  - $f_R \mapsto y_R \in \{0,1\}^{2^k}$  (truth table of  $f_R$ ).
  - $f_R(i_R) = \langle x_R, y_R \rangle$ ; Acc. Prob.  $\langle X, Y \rangle$ ;  $X = (x_R)_R$ ;  $Y = (y_R)_R$
  - Gaussian protocol estimates inner products of unit vectors to within  $\pm \epsilon$  with  $O_{\rho}\left(\frac{1}{\epsilon^2}\right)$  communication.

#### **Two-way communication**

- Still decided by inner products.
- Simple lemma:
  - $\exists K_A^k, K_B^k \subseteq \mathbb{R}^{2^k}$  convex, that describe private coin k-bit comm. strategies for Alice, Bob s.t. accept prob. of  $\pi_A \in K_A^k, \pi_B \in K_B^k$  equals  $\langle \pi_A, \pi_B \rangle$
- Putting things together:

Theorem:  $cc(f) \le k \Rightarrow isr(f) \le O_{\rho}(2^k)$ 

# Part 3: Uncertain Functionality

#### Model

- Alice knows  $g \approx f$ ; Bob wishes to compute f(x, y)
- Alice, Bob given g, f explicitly. (Input size  $\sim 2^n$ )
- Questions:
  - What is ≈?
  - Is it reasonable to expect to compute f(x,y)?
    - E.g., f(x,y) = f'(x)? Can't compute f(x,y)without communicating x
- Answers:
  - Assume  $x, y \sim \{0,1\}^n \times \{0,1\}^n$  uniformly.
  - $f \approx_{\delta} g$  if  $\delta(f,g) \leq \delta$ .
  - Suffices to compute h(x,y) for  $h \approx_{\epsilon} f$

#### Results

- Thm [Komargodski, Kothari, S.]:  $\forall \epsilon > 0, \exists \delta > 0$  s.t. If f has one-way communication k, then in the  $(\epsilon, \delta)$  –uncertain model it has communication complexity O(k).
- Main Idea:
  - Canonical protocol for f:
    - Alice + Bob share random  $x_1, ... x_m \in \{0,1\}^n$ .
    - Alice sends  $f(x_1), ..., f(x_m)$  to Bob.
    - Protocol used previously ... but not as "canonical".
  - Canonical protocol robust when  $f \approx g$ .
- Open: Interaction? Non-product distributions?

#### Conclusions

- Context Important:
  - New layer of uncertainty.
  - New notion of scale (context LARGE)
- Many open directions+questions

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# Thank You!