

# BATS Codes: Achieving the Capacity of Networks with Packet Loss

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Joint work with Raymond W. Yeung (INC, CUHK)



# Achievable Rates



Both links have a packet loss rate 0.2.

The capacity of this network is 0.8.

Intermediate	End-to-End	Maximum Rate
forwarding	retransmission	0.64
forwarding	fountain codes	0.64

# Achievable Rates

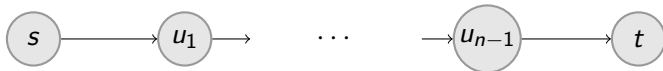


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Intermediate	End-to-End	Maximum Rate
forwarding	retransmission	0.64
forwarding	fountain codes	0.64
network coding	random linear codes	0.8

# Achievable Rates: $n$ -hop Line Network

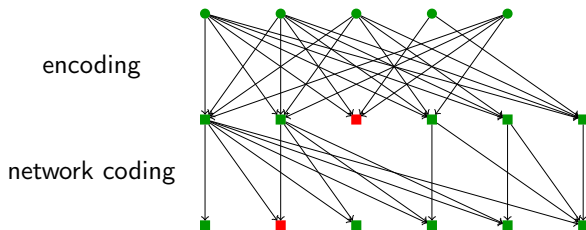


All links have a packet loss rate 0.2.

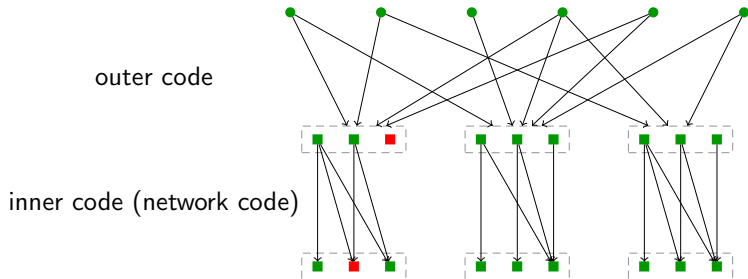
Intermediate Operation	Maximum Rate
forwarding	$0.8^n \rightarrow 0, n \rightarrow \infty$
network coding	0.8

# Complexity of Linear Network Coding

- $T$ : length of a packet;  $K$ : number of packets
- Encoding:  $\mathcal{O}(TK)$  per packet.
- Decoding:  $\mathcal{O}(K^2 + TK)$  per packet.
- Network coding:  $\mathcal{O}(TK)$  per packet. Buffer  $K$  packets.



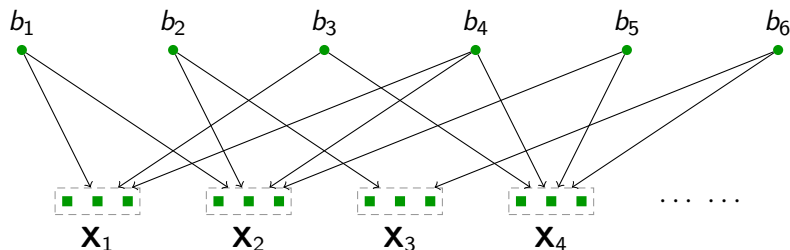
# Batched Sparse (BATS) Codes



[YY11] S. Yang and R. W. Yeung. Coding for a network coded fountain. ISIT 2011, Saint Petersburg, Russia, 2011.

# Encoding of BATS Code: Outer Code

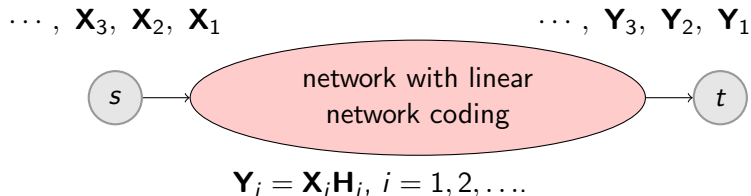
- Apply a “matrix fountain code” at the source node:
  - ① Obtain a degree  $d$  by sampling a degree distribution  $\Psi$ .
  - ② Pick  $d$  distinct input packets randomly.
  - ③ Generate a batch of  $M$  coded packets using the  $d$  packets.
- Transmit the batches sequentially.



$$\mathbf{X}_i = [b_{i1} \quad b_{i2} \quad \cdots \quad b_{id_i}] \mathbf{G}_i = \mathbf{B}_i \mathbf{G}_i.$$

# Encoding of BATS Code: Inner Code

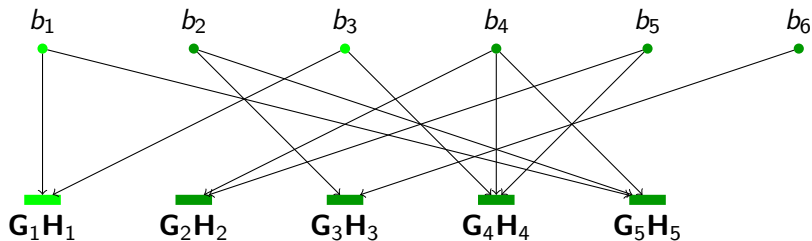
- The batches traverse the network.
- Encoding at the intermediate nodes forms the inner code.
- Linear network coding is applied in a causal manner within a batch.





# Belief Propagation Decoding

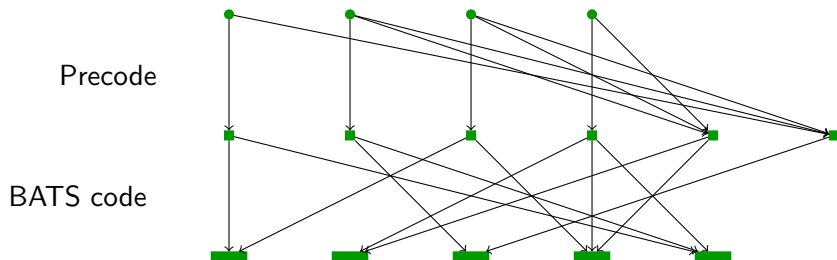
- 1 Find a check node  $i$  with degree  $d_i = \text{rank}(\mathbf{G}_i \mathbf{H}_i)$ .
- 2 Decode the  $i$ th batch.
- 3 Update the decoding graph. Repeat 1).



The linear equation associated with a check node:  $\mathbf{Y}_i = \mathbf{B}_i \mathbf{G}_i \mathbf{H}_i$ .

# Precoding

- Precoding by a fixed-rate erasure correction code.
- The BATS code recovers  $(1 - \eta)$  of its input packets.



[Shokr06] A. Shokrollahi, Raptor codes, IEEE Trans. Inform. Theory, vol. 52, no. 6, pp. 2551-2567, Jun. 2006.

# Complexity of Sequential Scheduling

Source node encoding		$\mathcal{O}(TM)$ per packet
Destination node decoding		$\mathcal{O}(M^2 + TM)$ per packet
Intermediate Node	buffer	$\mathcal{O}(TM)$
	network coding	$\mathcal{O}(TM)$ per packet

$T$ : length of a packet

$K$ : number of packets

$M$ : batch size

- For given a rank distribution of transfer matrices, BP decoding is asymptotically error free for BATS codes with very small coding overheads.
- For *short* block length, e.g., several thousands packets, the coding overhead for BP decoding can be large.

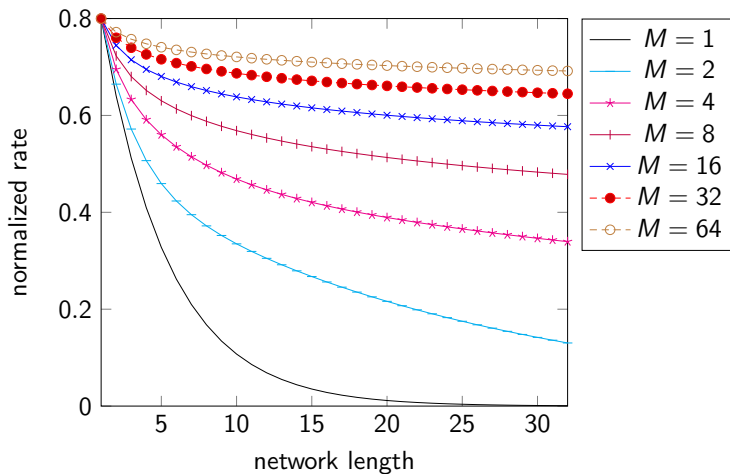
- For given a rank distribution of transfer matrices, BP decoding is asymptotically error free for BATS codes with very small coding overheads.
- For *short* block length, e.g., several thousands packets, the coding overhead for BP decoding can be large.
- *Inactivation decoding* can be used to reduce the overhead when the block length is within several 10 thousands packets:
  - BP decoding stops when there is no decodable batch
  - Assign an undecoded input packet as decoded (inactive) to restart BP decoding
  - Alleviates the degree distribution optimization problem for files up to several ten megabytes.

BATS codes with  $M = 32$  and  $q = 256$ .

$K$	coding overhead			inactivation no.		
	average	max	min	average	max	min
1600	2.04	16	0	94.0	119	72
8000	6.30	77	0	215.5	268	179
16000	26.58	1089	0	352.2	379	302

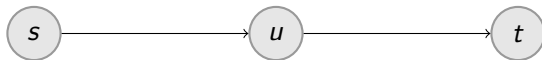
- $M = 1$ : BATS codes degenerate to Raptor codes.
  - Low complexity
  - No benefit of network coding
- $M = K$  and degree  $\equiv K$ : BATS codes becomes RLNC.
  - High complexity
  - Full benefit of network coding.
- Exist parameters with moderate values that give very good performance

# Tradeoff for Line Networks





# Experiment setting



- Packet loss rate 0.2.
- Node  $s$  encodes  $K$  packets using a BATS code.
- Node  $u$  caches only one batch.
- Node  $t$  sends one feedback after decoding.

# Experiment setting



# Experiment setting

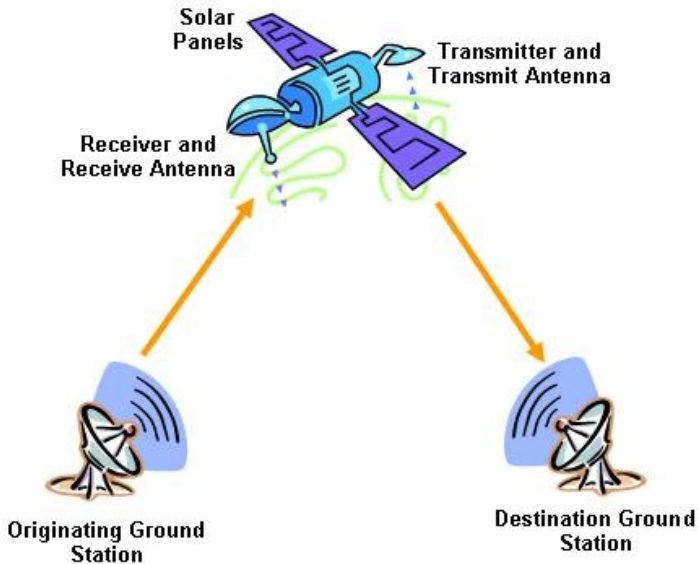


- Sender/receiver: a laptop with open source Atheros wireless drivers.
- Relay: one wireless router with Atheros chipset running OpenWrt (about 150HKD/20USD)
- WiFi 802.11 b/g/n at 2.4GHz
- Sender's rate is set to 1 Mb/s to reduce the effect of the router's low computation power.

# Compare with TCP

	Average rate (Kb/s)
BATS w/ recoding	592.86
BATS w/o recoding	530.65
TCP (normal 802.11)	420.33

# Application: Satellite Communication



- BATS codes provide a digital fountain solution with linear network coding:
  - Outer code at the source node is a matrix fountain code.
  - Linear network coding at the intermediate nodes forms the inner code.
  - Prevents BOTH packet loss and delay from accumulating along the way.
- The more hops between the source node and the sink node, the larger the benefit.